# IAP3 Rec'd PCT/PTO 14 FEB 2008

### NATIONAL PHASE UTILITY APPLICATION

Transmittal to the (DO/EO/US)
Under 35 USC 371

Attorney Docket No.: SHIGA7.045APC First Named Inventor: Yasuo Masuda

Int'l Application No.: PCT/JP2004/012235

Int'l Filing Date: August 19, 2004

Priority Date Claimed: September 18, 2003 (2003-325953)

Title: POSITIVE PHOTORESIST COMPOSITION AND RESIST

PATTERN FORMATION

Express Mail Label No.: EV 465554469 US

Direct all correspondence to Customer No.: 20995

Date: February 14, 2006

Page 1 of 2

10/568126

#### **Mail Stop PCT**

United States Patent and Trademark Office P.O. Box 1450 Alexandria VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

Inventors:

1. Yasuo Masuda

2. Toshiki Okui

#### **APPLICATION ELEMENTS:**

- (X) This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
- (X) A copy of the International Application as published (35 U.S.C. § 371(c)(2)) is attached hereto.
  - (X) PCT/IB/308 enclosed.
- (X) An English translation of the International Application as filed is not required.
- (X) Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) have not been and will not be made.
- (X) International Search Report for the International Application
- (X) An Oath or Declaration signed by the inventors (35 U.S.C. § 371(c)(4)).

### **OTHER APPLICATION PARTS:**

- (X) Preliminary Amendment in 3 pages.
- (X) Recordation form cover sheet and Assignment in 3 total pages (cover sheet & assignment).
- (X) Power of Attorney form and copy of assignment in 4 pages.
- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
  - (X) Listing 10 references.
  - (X) Enclosing 7 references.
- (X) Return prepaid postcard.

10/568126 IAP9 Rec'd PCT/PTO 14 FEB 2006

### NATIONAL PHASE UTILITY APPLICATION

Transmittal to the (DO/EO/US) Under 35 USC 371 Attorney Docket No.: SHIGA7.045APC
First Named Inventor: Yasuo Masuda
Int'l Application No.: PCT/JP2004/012235

Int'l Filing Date: August 19, 2004

Priority Date Claimed: September 18, 2003 (2003-325953)
Title: POSITIVE PHOTORESIST COMPOSITION AND RESIST

PATTERN FORMATION

Express Mail Label No.: EV 465554469 US

Direct all correspondence to Customer No.: 20995

Date: February 14, 2006

Page 2 of 2

#### FILING FEES:

	FEE CALCULA	TION				
FEE TYPE & DETERMINATION		LARGE FEE	CALCULATION		TOTAL	
Basic Filing Fee under 1.492(a)		1631 (\$300)				\$300
Search Fee under 1.492(	b) (enter one in TOTAL column)					
(1) USPTO was IS (4)	1640 (\$0)					
(2) USPTO was the ISA (noncompliant claims)		1641 (\$100)	1			\$400
(3) Foreign Search	1642 (\$400)	1				
(4) No Search Rep	ort Enclosed	1632 (\$500)	1			
Examination Fee under 1.492(c) (enter one in TOTAL column)					, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
(1) USPTO was ISA/IPE	1643 (\$0)	1			\$200	
(2) Non US IPEA or No	1633 (\$200)	1				
Excess Claims	12 - 20 = 0	1615 (\$50)	0	х	50 =	\$0
Excess Ind. Claims	1 - 3 = 0	1614 (\$200)	0	х	200 =	\$0
Multiple Dep. Claim		1616 (\$360)				\$360
Assignment		8021 (\$40)	1	х	40 =	\$40
				OTA D	\$1,300	
TOTAL FEE PAID (If Different Than Fee Due)					N/A	

### (X) A check in the amount of \$1,300 to cover the Total Fee Due is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

Neil S. Bartfold, Ph.D. Registration No. 39,901

Agent of Record Customer No. 20,995

(619) 235-8550

## **Knobbe Martens Olson & Bear LLP**

Intellectual Property Law

IAP9 Rec'd PCT/PTO 14 FEB 2006

2040 Main Street Fourteenth Floor Irvine, CA 92614 Tel 949-760-0404 Fax 949-760-9502 www.kmob.com

Neil S. Bartfeld, Ph.D. Patent Scientist 619-525-8312 Neil.Bartfeld@kmob.com

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Attorney Docket No.

SHIGA7.045APC

Applicant(s)

Masuda et al.

For

POSITIVE PHOTORESIST COMPOSITION

AND RESIST PATTERN FORMATION

Agent

: Neil S. Bartfeld, Ph.D.

"Express Mail" Label No.:

EV 465554469 US

**Date of Deposit** 

February 14, 2006

I hereby certify that the accompanying

CUP445554469US

Transmittal letter; a copy of PCT/JP2004/012235; a copy of form PCT/IB/308 for PCT/JP2004/012235; a copy of the International Search Report for PCT/JP2004/012235; Preliminary Amendment in 3 pages; SIGNED Declaration in 2 pages; Power of Attorney and copy of assignment in 4 pages; Recordation Form Cover Sheet and Assignment in 3 pages; Information Disclosure Statement, PTO Form PTO/SB/08 Equivalent with 10 references listed (7 enclosed); Check for Filing Fee; Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Docketing Agent

2374108 / 021406

San Diego 619-235-8550 San Francisco 415-954-4114 Los Angeles 310-551-3450 Riverside 951-781-9231

San Luis Obispo 805-547-5580